

Apprican RANGE TRACETAR NO.

OUTS ES	IN THE UNITED STATES PATENT AND TRADEMARK OFFICE					
Appricant	s: Robert A. Armbruster, et al. Group Art Unit: 3617					
Serial No.	10/718,313 Examiner: (Not Assigned)					
Filed:	11/20/2003					
Title:	STRATEGIES FOR LOCOMOTIVE OPERATION IN TUNNEL CONDITIONS					
	SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT					
[X] 1.	Pursuant to 37 CFR 1.97(b) (within 3 months of filing or prior to 1st Office Action)					
[] 2.	Certification Pursuant to 37 CFR 1.97(c) (before Final Office Action or Allowance)					
[] 3.	Fee Payment Pursuant to 37 CFR 1.97(c) (before Final Office Action or Allowance)					
[] 4.	Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d) (before issue fee payment)					
	oner of Patents on, D.C. 20231					
Sir:						
Th CFR 1.97	e following are submitted in the above-identified application in compliance with 37 & 1.98:					
[X] 5.	A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.					
This paper	r is submitted in accordance with:					
[X] 6.	37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]					
[] 7.	37 CFR 1.97(c): [before Final office Action or Allowance, whichever is earlier; and					
[] 8.	The required certification made in item 11 below; or					
[] 9.	The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement.					

[]	10.	37 CFR §1.95(d): [before issue fee payment]; and a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is			
		authorized in item 14 below. (Direct this letter to: "Attention: PETITIONS EXAMINER" and, if applicable, include batch locator information: e.g., "Allowed Files, Batch, Date of Allowance"), and			
		b) The required Certification is stated in item 11 below.			
[]	11.	Certification			
[X]	12.	Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;			
[]	13.	No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.			
[X]	14.	Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. <u>07-0846</u> .			

An original and two (2) copies of this document are enclosed.

Respectfully submitted,

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PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

 Complete if Known

 Application Number
 10/718,313

 Filing Date
 11/20/2003

 First Named Inventor
 Robert A. Armbruster

 Art Unit
 3617

 Examiner Name
 Not Assigned

 Attorney Docket Number
 128695-1 (420)

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	S1	US- c 700 006	00 20 2004	Franka at al	3 , , , , , ,
	31	^{US-} 6,799,096	09-28-2004	Franke, et al	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
	S2	JP 07117669 A	05-09-1995	Tsubouchi Kuniyoshi		\vdash
	S3	JP 10329717 A	12-15-1998	Koishi Yoichi		
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Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DONT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.